

Exploring the Potential of *Lactobacillus plantarum* to Enhance Functional Black Tea Beverages

Zhiyuan Wang^{1,2}, Jiayi Yu^{1,2}, Yu Su^{1,4}, Jiaxiu Wang^{1,4}, Shuai Wang^{2,3}, Lei Yuan^{1,2}, Shuo Wang^{1,2,4,*}

¹School of Food Science and Engineering, Yangzhou University, Yangzhou, Jiangsu 225127, PR China

²Key Laboratory of Catering Food Safety and Systematic Monitoring for Jiangsu Province Market Regulation, Yangzhou 225001, PR China

³Yangzhou Institute for Food and Drug Control, Yangzhou 225106, PR China

⁴Jiangsu Alphas Bio-Technology Co. Ltd., Nantong 226010, PR China

*Corresponding author: wangsh@yzu.edu.cn

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Abstract To enhance the functionality of black tea beverages, this study investigated fermentation by eight *Lactobacillus plantarum* strains in black tea-sugar broth over 48 hours. Key analyses included pH, acidity, organic acids, sugars, phenols, and flavonoids. Strain-specific profiles emerged: some strains showed strong growth and acid production, while others maintained stable pH. Principal component analysis (77.32% variance) highlighted acidification and sugar metabolism as main drivers. Bioactive compounds like phenols and flavonoids remained stable, preserving antioxidant benefits. These insights offer a framework for optimizing fermentation, enabling producers to create probiotic-rich, health-promoting drinks with improved gut health and flavor potential.

Keywords: *Lactobacillus plantarum*, black tea, fermentation, principal component analysis, functional beverages

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1. Introduction

The global demand for health-promoting foods has surged in recent years, driven by increasing consumer awareness of diet-related health benefits. Among these, fermented tea beverages, such as kombucha, tea wine, and tea vinegar, have gained prominence due to their unique flavors and rich bioactive compounds, including antioxidants and probiotics [1,2]. These beverages are produced through microbial fermentation, which modifies tea's natural constituents, enhancing health attributes like oxidative stress reduction and gut health support [3].

Traditionally, fermentation of tea relies on yeast and acetic acid bacteria (AAB), which drive acid accumulation and flavor development. However, recent omics-based studies have highlighted the emerging role of lactic acid bacteria (LAB) in polysaccharide metabolism, acid regulation, and probiotic effects, expanding the microbial diversity in tea fermentation [4,5]. Among LAB, *Lactobacillus plantarum* stands out for its metabolic versatility and acid tolerance, making it a promising candidate for fermented food applications [6,7]. This strain efficiently utilizes sugars (e.g., sucrose, glucose, fructose) via glycolytic pathways, producing lactic acid and exopolysaccharides (EPS) that improve texture and

health value [8,9]. For instance, Zhang et al [10] demonstrated that *L. plantarum* C88 converts sugars into lactic acid and EPS (LPC-1), reducing sugar content while enhancing gut barrier function through antioxidant activity.

Despite these advances, the specific contributions of *L. plantarum* to tea fermentation, particularly black tea, remain underexplored. Black tea, rich in polyphenols, poses unique challenges due to its complex matrix and potential inhibition of LAB by dominant AAB [11]. Existing studies on kombucha suggest LAB enhances acidity and EPS production [12], but strain-specific effects on sugar metabolism, organic acid profiles, and functional component stability (e.g., polyphenols, flavonoids) in black tea are poorly understood. This knowledge gap hinders the optimization of fermentation processes for functional black tea beverages.

To address this, the present study screened eight *L. plantarum* strains isolated from food sources to investigate their metabolic behaviors during black tea-sugar broth fermentation. The research focused on physicochemical changes (pH, total acidity, organic acids, sugars) and the dynamics of functional components (total phenols, flavonoids). These findings aim to elucidate *L. plantarum*'s role in sugar utilization and acidogenesis, while assessing its potential to preserve bioactive compounds, providing a scientific basis for developing high-quality, health-promoting black tea-based beverages.

2. Materials and Methods

2.1. Chemicals and Materials

Black tea (*Camellia sinensis* var. *assamica*) was sourced from Yiming Ecological Tea Co., Ltd. (Nanping, China). Sucrose was obtained from Angel Yeast Co., Ltd. (Chongzuo, China). All other reagents, including sodium hydroxide (NaOH), 3,5-dinitrosalicylic acid, phenol, sodium sulfite, potassium sodium tartrate, aluminum chloride (AlCl₃), sodium nitrite (NaNO₂), sodium carbonate (Na₂CO₃), Folin-Ciocalteu reagent, and standards for organic acids (formic, citric, malic, lactic, acetic) and carbohydrates (sucrose, fructose, glucose), were purchased from Sinopharm Chemical Reagent Co., Ltd. (Beijing, China). All reagents were of analytical grade unless otherwise specified.

2.2. Fermentation of Black Tea Broth with *Lactobacillus plantarum*

Eight *L. plantarum* strains (HD2, JF2, J10, RU1, SC1, J30, GA6 and LB3), isolated and preserved at the Food Safety and Quality Control Laboratory of Yangzhou University, were used to ferment a sucrose-supplemented black tea broth. The broth was prepared by infusing 5.0 g of black tea leaves in 1 L boiling ultrapure water for 15 min, filtering, cooling to 50°C, adding 50.0 g sucrose (5%, w/v), and sterilizing at 121°C for 15 min. Each strain was revived from glycerol stocks in de Man, Rogosa, and Sharpe (MRS) broth at 37°C for 24 h, subcultured three times, harvested by centrifugation (5000 × g, 10 min, 4°C), and resuspended in saline to approximately 1 × 10⁸ CFU/mL. An aliquot corresponding to 1% (v/v) of this suspension was inoculated into the sterilized tea broth, which was incubated statically at 37°C for 48 h, and samples were withdrawn at 12, 24, 36 and 48 h for subsequent analyses.

2.3. Biochemical Analyses

Cell growth was monitored by measuring optical density at 600 nm (OD₆₀₀) using a WGZ-XT turbidity meter (Hangzhou Qiwei Instrument Co., Ltd., Hangzhou, China). Samples were diluted to an OD₆₀₀ range of 0.05–1.0, and readings were converted to colony-forming units (CFU/mL) using a pre-established standard curve. The pH was measured with a PHS-3C pH meter (REX Instruments, Shanghai, China). Total titratable acidity (TA) was determined by acid-base titration following the Chinese standard GB 12456, expressed as percentage lactic acid (w/v). Reducing sugars were quantified using the 3,5-dinitrosalicylic acid colorimetric method [13]. Briefly, 1 mL of sample was mixed with 1 mL of DNS reagent, heated at 100°C for 5 min, cooled, and diluted to 10 mL, with absorbance measured at 540 nm.

2.4. HPLC Analysis of Organic Acids and Sugars

Samples were filtered through 0.22 µm syringe filters and analyzed using a Shimadzu LC-20A high-

performance liquid chromatography (HPLC) system (Shimadzu, Kyoto, Japan). Organic acids were separated on a Shodex RSpack KC-811 column (300 × 8 mm, Showa Denko, Tokyo, Japan) with a Shodex KC-G6B guard column, using 3.0 mmol/L perchloric acid as the mobile phase at 1.0 mL/min, 50°C, and detected at 210 nm (20 min run time). Sugars were resolved on a Shodex Sugar KS-801 column (300 × 8 mm, Showa Denko, Tokyo, Japan) with a KS-G6B guard column, using ultrapure water as the mobile phase at 0.7 mL/min, 80°C, with a 10 µL injection volume and refractive index detection (20 min run time).

2.5. Total Phenolic Content (TPC)

Total phenolic content (TPC) was determined using the Folin-Ciocalteu method. Briefly, a 50 µL aliquot of ten-fold diluted sample was mixed with 50 µL of diluted Folin-Ciocalteu reagent, incubated at 25°C for 5 min, followed by the addition of 50 µL of 100 g/L Na₂CO₃ and adjustment to 250 µL with ultrapure water. After 60 min in the dark, absorbance was measured at 765 nm using a spectrophotometer. TPC was expressed as milligrams of gallic acid equivalents (GAE) per gram of fresh weight (FW).

2.6. Total Flavonoid Content (TFC)

Total flavonoid content (TFC) was measured using the AlCl₃ colorimetric method. A 50 µL sample was mixed with 25 µL of 50 g/L NaNO₂, incubated for 5 min, followed by the addition of 25 µL of 100 g/L AlCl₃ and a further 5 min incubation in the dark. Subsequently, 100 µL of 50 g/L NaOH was added, and the volume was adjusted to 250 µL with ultrapure water. Absorbance was recorded at 510 nm. TFC was expressed as milligrams of rutin equivalents (RE) per gram of fresh weight (FW).

2.7. Statistical Analysis

All experiments were performed in triplicate, with results expressed as mean ± standard deviation (SD). Data were analyzed using SPSS 23.0 (IBM Corp., Armonk, NY, USA). The Mantel test was used to assess correlations between microbial growth and biochemical parameters, while principal component analysis (PCA) was applied to evaluate variations in biochemical profiles across strains and time points. One-way analysis of variance (ANOVA) with Tukey's post-hoc test was conducted to identify significant differences ($p < 0.05$).

3. Results and Discussion

This study evaluated the fermentation of black tea-sugar broth by eight *L. plantarum* strains (HD2, JF2, J10, RU1, SC1, J30, GA6, LB3) over 48 h, focusing on microbial growth dynamics and their impact on biochemical parameters, including pH, titratable acidity, organic acids, reducing sugars, total phenolics, and flavonoids. The findings elucidate strain-specific metabolic profiles and their potential for developing functional fermented beverages.

3.1. Growth Dynamics

The growth profiles of the eight *L. plantarum* strains in black tea-sugar broth, assessed via viable cell counts (CFU/mL), revealed distinct strain-specific patterns (Figure 1). All strains, inoculated at 3.0×10^6 CFU/mL, exhibited rapid proliferation within 12 h, entering the logarithmic growth phase, consistent with the adaptability of *L. plantarum* to tea-based substrates [14].

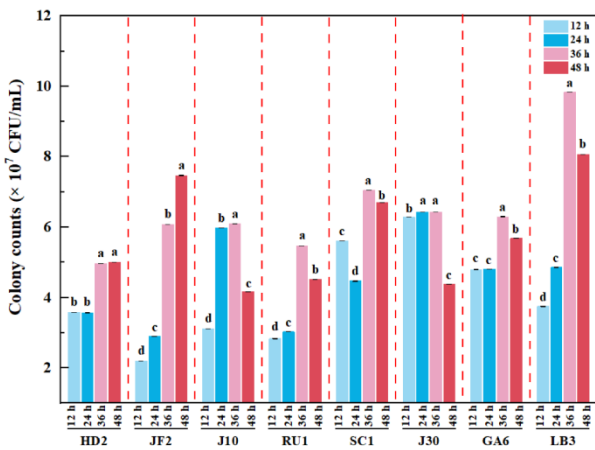


Figure 1. The changes in growth profiles for black tea-sugar broth fermentation with eight *L. plantarum* strains

Among the strains, strain JF2 demonstrated sustained growth, increasing from 2.21×10^7 CFU/mL at 12 h to 7.47×10^7 CFU/mL at 48 h ($p < 0.05$), reflecting robust metabolic activity and environmental tolerance. This continuous growth suggests JF2's suitability for extended fermentation processes, such as probiotic beverage production. In contrast, strain HD2 exhibited delayed growth, with viable counts remaining stable from 3.59×10^7 CFU/mL at 12 h to 3.57×10^7 CFU/mL at 24 h, before increasing to 5.01×10^7 CFU/mL at 48 h ($p < 0.05$). This

extended lag phase may result from slower substrate utilization, as observed in other lactic acid bacteria fermentations [15].

Strains LB3, J10, GA6, and RU1 reached peak viable counts at 36 h (9.84×10^7 , 6.09×10^7 , 6.44×10^7 , 6.30×10^7 , and 5.48×10^7 CFU/mL, respectively), followed by declines at 48 h (8.07×10^7 , 4.17×10^7 , 4.38×10^7 , 5.69×10^7 , and 4.52×10^7 CFU/mL, representing decreases of 18.0%, 31.5%, 32.0%, 9.7%, and 17.5%, respectively; $p < 0.05$). Such declines are typical in fermentation due to nutrient depletion or accumulation of inhibitory metabolites [16]. LB3's high peak and moderate decline suggest sustained viability, while J10 and J30's sharper declines indicate lower tolerance to environmental stress.

Strain SC1 displayed a unique non-monotonic trend, decreasing from 5.61×10^7 CFU/mL at 12 h to 4.47×10^7 CFU/mL at 24 h, then increasing to 7.05×10^7 CFU/mL at 36 h, and slightly declining to 6.71×10^7 CFU/mL at 48 h (4.8% decrease). This pattern might reflect an initial adaptation phase followed by vigorous growth, possibly due to specific interactions with tea polyphenols or sugars. The observed variability in growth dynamics highlights strain-specific differences in proliferation rates and adaptation to the black tea-sugar broth matrix. These differences may influence the suitability of each strain for specific applications in tea-sugar broth fermentation.

3.2. pH and Acid Changes

Fermentation of black tea-sugar broth by *L. plantarum* strains resulted in significant acidification, characterized by decreased pH and increased titratable acidity (TA) (Figure 2a & Figure 2b). All strains reduced pH from 4.0–4.1 at 12 h to 3.78–3.98 at 48 h ($p < 0.05$), reflecting the accumulation of organic acids, particularly lactic and formic acids (Figure 2c). This acidification closely correlated with microbial growth dynamics, influencing the flavor, preservation, and probiotic functionality of the fermented products [17].

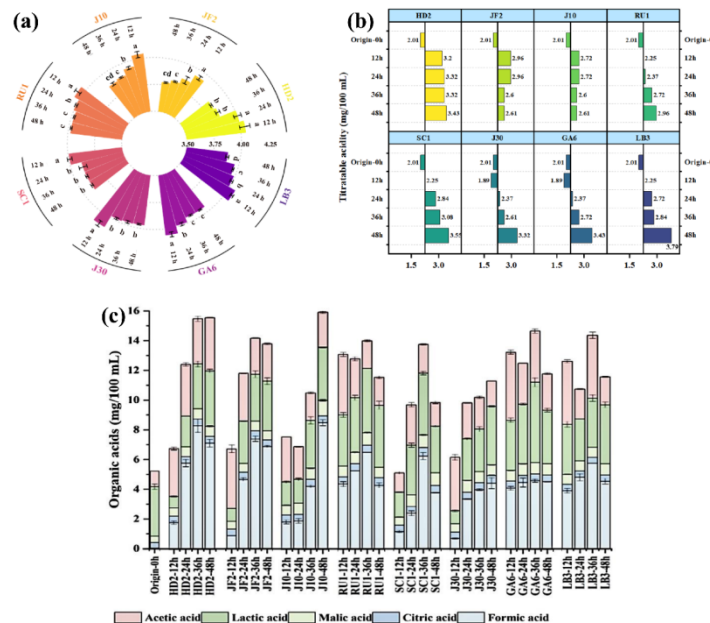


Figure 2. The changes in acidification profiles for black tea-sugar broth fermentation with eight *L. plantarum* strains. (a) pH, (b) titratable acidity, (c) organic acids

Strain JF2, exhibiting sustained growth (from 2.21×10^7 to 7.47×10^7 CFU/mL), reduced pH from 3.94 at 12 h to 3.78 at 48 h ($p < 0.05$), indicating strong acidogenic capacity. Similarly, strain HD2, despite delayed growth, lowered pH from 4.05 to 3.83 ($p < 0.05$), suggesting stable acid production post-lag phase. Strain LB3, peaking at 9.84×10^7 CFU/mL at 36 h, decreased pH from 4.06 to 3.88 ($p < 0.05$), consistent with its high viability. In contrast, strain SC1's pH stabilized at 3.89 from 24 to 48 h, possibly due to buffering by tea compounds or reduced acid synthesis, despite its non-monotonic growth pattern. Strains J10 and J30, with significant growth declines after 36 h, showed moderate pH reductions (J10 from 4.03 to 3.87; J30 from 4.10 to 3.97; $p < 0.05$), suggesting acid accumulation may have limited further proliferation. Strains GA6 and RU1 exhibited milder pH decreases (from 4.06 to 3.95 and 4.07 to 3.98, respectively), aligning with their intermediate growth stability.

In the present study, TA levels were generally increased during fermentation. For instance, TA for strain HD2 rose from 3.20 mg/100 mL at 12 h to 3.43 mg/100 mL at 48 h, while that of strain LB3 increased from 2.25 mg/100 mL to 3.79 mg/100 mL, corresponding to their sustained or peak growth. However, strains JF2 and J10 showed slight TA declines after 24 h (JF2 from 2.96 to 2.61 mg/100 mL; J10 from 2.72 to 2.61 mg/100 mL), possibly due to acid re-assimilation or metabolic conversion [18].

Lactic acid, the primary fermentation product via homofermentative glycolysis, dominated acidogenesis. Strain HD2's lactic acid increased from 0.70 to 3.41 mg/100 mL (4.9-fold, $p < 0.05$), JF2 from 0.78 to 3.11 mg/100 mL (4.0-fold), and J10 from 1.39 to 3.22 mg/100 mL (2.3-fold), correlating with pH declines and growth. Strain LB3's lactic acid rose modestly from 3.34 to 3.94 mg/100 mL (1.2-fold), reflecting early acidogenic activity consistent with its peak growth at 36 h. Strains SC1 and J30 showed increases (1.54 to 2.89 mg/100 mL and 0.76 to 3.57 mg/100 mL, respectively), moderated by pH dynamics.

Formic acid accumulation further contributed to acidification. Strain J10 showed the highest increase (from 1.68 to 7.62 mg/100 mL, 4.5-fold, $p < 0.05$), followed by HD2 (from 1.57 to 6.50 mg/100 mL, 4.1-fold) and JF2 (from 0.78 to 6.26 mg/100 mL, 8.0-fold). These increases may reflect activation of heterofermentative pathways under nutrient limitation or environmental stress [19]. Strain LB3's formic acid increased from 3.89 to 4.63 mg/100 g, complementing its lactic acid production.

In contrast, acetic, citric, and malic acids showed smaller but statistically significant changes ($p < 0.05$). For example, HD2's acetic acid increased from 2.88 to 3.24 mg/100 mL (12.5%), citric acid from 0.38 to 0.42 mg/100 mL (10.5%), and malic acid from 0.50 to 0.62 mg/100 mL (24.0%). Despite their significance, their absolute increments were substantially lower than those of lactic acid (2.71 mg/100 mL) and formic acid (4.93 mg/100 mL), suggesting a limited contribution to pH reduction, likely due to their low initial concentrations and weak buffering capacity in the broth.

These acidogenic profiles highlighted strain-specific metabolic capacities and their impact on growth and fermentation outcomes. Rapidly growing strains like JF2 and LB3 produced substantial lactic and formic acids,

driving pronounced pH declines, making them suitable for rapid fermentation processes. SC1's stabilized pH and moderate acid production suggest suitability for products requiring controlled acidity. The growth declines of J10 and J30, despite significant acid production, indicate sensitivity to acid stress, underscoring the need for optimized conditions (e.g., pH buffering) to enhance viability. These findings inform strain selection for functional beverages, balancing growth efficiency and biochemical outcomes.

3.3. Changes in Sugar

During the fermentation of black tea-sugar broth by *L. plantarum* strains, sucrose, the primary carbon source, was hydrolyzed into glucose and fructose to support microbial growth and acid production. Over 48 h, sucrose concentrations displayed strain-dependent trends (Figure 3a), reflecting differences in invertase activity and sugar utilization efficiency. For example, in strain HD2, sucrose decreased from 0.28 g/100 g at 12 h to 0.19 g/100 g at 36 h, followed by a slight rebound to 0.25 g/100 g at 48 h, likely due to residual hydrolysis or delayed substrate consumption. In contrast, strain JF2 showed an initial increase from 0.28 to 0.43 g/100 g by 24 h, then declined to 0.37 g/100 g at 48 h, suggesting dynamic sucrose cleavage and potential re-assimilation. Strain SC1 exhibited a marked reduction from 0.96 to 0.53 g/100 g, indicating high invertase activity or greater initial sucrose availability [20]. RU1 showed a similar trend, with sucrose declining from 1.13 to 0.65 g/100 g.

The hydrolysis products, glucose and fructose, accumulated transiently, peaking between 24 and 36 h, corresponding to the active fermentation phase. In strain JF2, glucose increased from 0.38 g/100 g at 12 h to 0.62 g/100 g at 36 h ($p < 0.05$), and fructose rose from 0.18 g/100 g to 0.26 g/100 g ($p < 0.05$), indicating efficient sucrose breakdown. Conversely, in strain HD2, glucose decreased from 0.43 g/100 g at 12 h to 0.24 g/100 g at 36 h, recovering to 0.42 g/100 g at 48 h, while fructose dropped from 0.19 g/100 g to 0.10 g/100 g before rising to 0.30 g/100 g. These fluctuations suggest a dynamic balance between monosaccharide accumulation and microbial consumption. Consistently, total reducing sugars (Figure 3b) peaked at 36 h (0.20 g/100 g for HD2; 0.21 g/100 g for JF2), followed by minor declines as monosaccharides were metabolized.

Moreover, strains J10 and J30 exhibited stable reducing sugar levels after 36 h (J10: 0.13 g/100 g; J30: 0.15 to 0.14 g/100 g), but marked declines in glucose and fructose were observed in J10, glucose from 0.58 to 0.30 g/100 g (−48.3%, $p < 0.05$) and fructose from 0.22 to 0.13 g/100 g (−40.9%, $p < 0.05$). This was accompanied by a drop in viable cell counts (6.09×10^7 to 4.17×10^7 CFU/mL), suggesting selective sugar depletion and stress-induced metabolic inhibition. High levels of formic acid (7.62 mg/100 g) and lactic acid (3.22 mg/100 g), along with a low pH of 3.87, likely exceeded the acid tolerance threshold of strain J10, impairing its growth and sugar utilization capacity [21]. A similar pattern was observed in strain J30. In contrast, strains SC1 and RU1 exhibited relatively stable glucose and fructose profiles, despite slower sucrose degradation, implying a more conservative

metabolism (glucose decreased from 0.58 to 0.30 g/100 g), suggesting limited phenolic extraction under stress. Strains LB3 and GA6 showed minor TFC changes (LB3: from 15.96 to 15.48 mg RE/g FW; GA6: from 15.13 to 15.39 mg RE/g FW), reinforcing stability during fermentation.

This stability is crucial for functional beverage development, as polyphenols and flavonoids contribute to antioxidant and cardiovascular benefits [25]. The lack of significant degradation ensures retention of health attributes despite pH declines and acid/sugar metabolism, supporting sensory properties like astringency. The stability of these compounds also supports potential flavor retention, as polyphenols contribute to astringency and overall sensory appeal [26].

3.5. PCA Results

To elucidate the relationships among microbial growth, biochemical parameters, and fermentation time during the fermentation of black tea-sugar broth by *L. plantarum* strains, PCA was performed using the complete dataset. The analysis included eight strains (HD2, JF2, J10, RU1, SC1, J30, GA6, LB3) across four time points (12 h, 24 h, 36 h, 48 h). The first five principal components (PCs), with eigenvalues greater than 1, collectively accounted for 77.32% of the total variance, indicating that the model effectively captured the major patterns in the data. The first two PCs (PC1 and PC2), derived from the average values of the variables, accounted for 45.90% of the total variance: PC1 explained 24.81% and PC2 explained 21.09% (Figure 5).

The PCA score plot for PC1 versus PC2 is presented in Figure 5a. The samples exhibited distinct clustering based on fermentation time and strain-specific behaviors. PC1 primarily separated early fermentation stages (12 h and 24 h) from later stages (36 h and 48 h). For instance, strains J10 and LB3 fermented at 12 h (J10-12h and LB3-12h)

were located in the negative PC1 region, while those fermented at 48 h (J10-48h and LB3-48h) shifted toward the positive PC1 quadrant, reflecting significant changes in biochemical profiles over time. PC2 highlighted strain-specific differences, with SC1 and RU1 samples showing greater dispersion, suggesting unique metabolic patterns.

The correlation loadings plot for PC1 and PC2 is shown in Figure 5b, revealing the contributions of individual variables to the PCs. PC1 was strongly negatively correlated with pH and positively correlated with total acidity, lactic acid, and formic acid, underscoring the dominant role of acidification in later fermentation stages. PC2 showed positive correlations with reducing sugars and colony counts, emphasizing the influence of sugar metabolism and microbial proliferation. For example, the positive PC1 shift of HD2-48h and JF2-48h aligned with increased lactic acid (HD2: 3.41 mg/100 g; JF2: 3.11 mg/100 g) and formic acid (HD2: 6.50 mg/100 g; JF2: 6.26 mg/100 g), confirming that acid production drove temporal variation. The dispersed distribution of strain SC1, with non-monotonic growth (5.61×10^7 to 6.71×10^7 CFU/mL) and stable pH (3.89), was consistent with the negative PC1 loading of pH. Moreover, strains J10 and J30 over 36 h located on negative PC2, correlating with sugar depletion (J10 glucose: 0.30 g/100 g) and declining colony counts (J10: 4.17×10^7 CFU/mL), supported by the positive PC2 loadings of reducing sugars and colony counts, which suggest sugar limitation and acid stress as growth constraints.

Overall, PCA effectively discriminated temporal and strain-specific effects, revealing complex interactions among sugar metabolism, acidification, and microbial growth. These findings provide valuable insights for optimizing fermentation processes in the development of functional fermented beverages. These PCA insights can guide industry in strain selection for tailored beverage profiles.

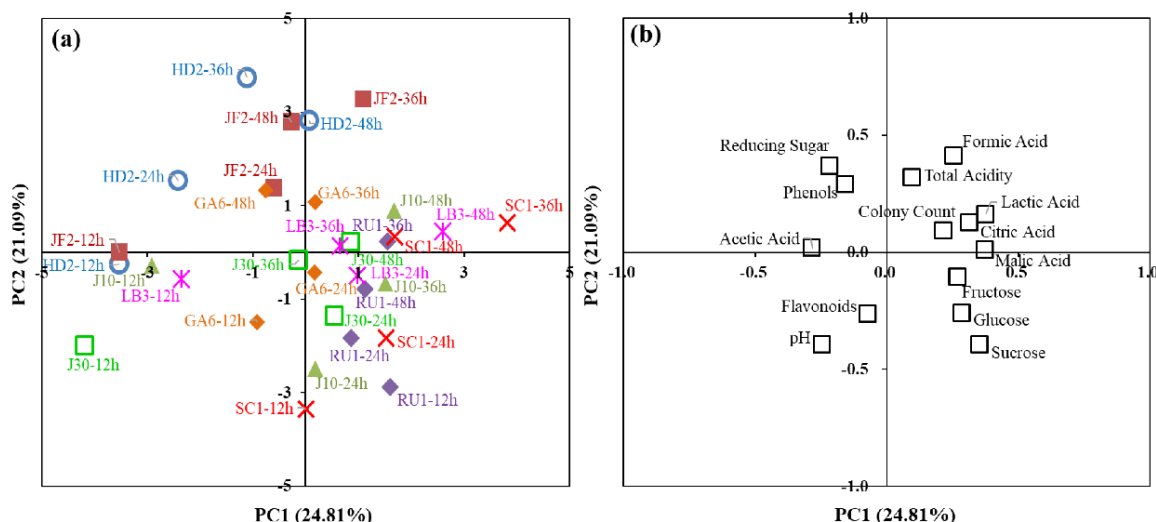


Figure 5. PCA results of microbial growth, biochemical compositions, and fermentation time during the fermentation of black tea-sugar broth with eight *L. plantarum* strains. (a) score plot, (b) loadings plot

4. Conclusions

The fermentation of black tea-sugar broth by *L. plantarum* strains significantly influences microbial growth, acid production, sugar metabolism, and the stability of functional components, which are critical for the development of functional beverages. This study demonstrated that strain-specific metabolic profiles, including lactic and formic acid accumulation, sucrose hydrolysis, and stable total phenols and flavonoids, directly impact fermentation outcomes. Principal component analysis, explaining 77.32% of the variance, confirmed that acidification (PC1) and sugar metabolism with microbial proliferation (PC2) are key drivers of temporal and strain-specific variations. These findings indicate that strains like JF2 and LB3, with robust growth and acid production, are promising for rapid fermentation, while SC1's stable pH suggests suitability for controlled acidity products. The stability of bioactive compounds, despite pH declines and sugar consumption, ensures retention of health benefits, such as antioxidant properties.

These results offer valuable insights for optimizing fermentation processes to enhance the functionality of black tea-based beverages, a growing area of interest in food science. However, the study highlights a critical gap in understanding the flavor profiles, as the aroma-producing capabilities of the eight *L. plantarum* strains remain unexplored. Future research should focus on elucidating specific metabolic pathways, such as invertase activity and phenolic stability mechanisms, while investigating the strains' potential for aroma compound production using techniques like GC-MS, electronic nose, and electronic tongue. Additionally, assessing post-fermentation microbial viability and shelf life under storage conditions will be essential for commercial viability, given *L. plantarum*'s known acid tolerance. For industry adoption, our findings can inform kombucha or probiotic drink producers in selecting optimal strains, such as JF2 for balanced acidity and bioactive retention, potentially leading to innovative products with enhanced gut health benefits, extended shelf life, and greater consumer appeal.

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